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8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA

10 IN RE: ORRICK, HERRINGTON &) Master File No. 3:23-cv-04089-SI
SUTCLIFFE, LLP DATA BREACH)
11 LITIGATION)
12 This Document Relates To:) ~~PROPOSED~~ PRELIMINARY APPROVAL
ORDER
13 All actions.)
14 _____)
15)

16 This matter is before the Court for consideration of whether the Settlement reached by the
17 parties should be preliminarily approved, the proposed Settlement Class preliminarily certified,
18 and the proposed plan for notifying the Settlement Class approved. Having reviewed the proposed
19 Settlement, together with its exhibits, and based upon the relevant papers and all prior proceedings
20 in this matter, the Court has determined the proposed Settlement satisfies the criteria for
21 preliminary approval, the proposed Settlement Class should be preliminarily certified, and the
22 proposed notice plan approved.¹ Accordingly, good cause appearing in the record, **IT IS**
23 **HEREBY ORDERED THAT:**
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27 ¹ Unless otherwise indicated, capitalized terms used herein have the same meaning as in the
Settlement.

Provisional Certification of The Settlement Class

(1) The Court provisionally certifies the following Settlement Class:

All residents of the United States who were sent notice that their personal information was accessed, stolen, or compromised as a result of the Data Breach. Excluded from the Settlement Class are (i) Orrick, any Entity in which Orrick has a controlling interest, and Orrick’s partners, officers, directors, legal representatives, successors, subsidiaries, and assigns; (ii) any judge, justice, or judicial officer presiding over the Action and the members of their immediate families and judicial staff; and (iii) any individual who timely and validly opts out of the Settlement.

This Settlement Class is provisionally certified for purposes of settlement only.

The Court further provisionally certifies, for the purposes of settlement only, a California Subclass consisting of members of the Settlement Class who were residents of the State of California any time between November 19, 2022 and March 13, 2023.

For the purpose of this Order, the term “Settlement Class” or “Settlement Class Member” shall encompass both the nationwide Settlement Class and the California Subclass, unless expressly indicated otherwise.

(2) The Court determines that for settlement purposes the proposed Settlement Class meets all the requirements of Federal Rule of Civil Procedure 23(a) and (b)(3), namely that the class is so numerous that joinder of all members is impractical; that there are common issues of law and fact; that the claims of the class representatives are typical of absent class members; that the class representatives will fairly and adequately protect the interests of the class as they have no interests antagonistic to or in conflict with the class and have retained experienced and competent counsel to prosecute this matter; that common issues predominate over any individual issues; and that a class action is the superior means of adjudicating the controversy.

1 (3) Dennis R. Werley, Robert D. Jensen, Rachel Mazanec, Scott Morrisset, Robert
2 Bass, Jody Frease, Kimberly L. McCauley, Joby Childress, and Cathi Soule are designated and
3 appointed as the Settlement Class Representatives.

4 (4) William Federman of Federman & Sherwood, who was previously appointed by
5 the Court as interim Lead Counsel, is designated as Lead Class Counsel pursuant to Fed. R. Civ.
6 P. 23(g). The Court finds that Mr. Federman is experienced and will adequately protect the
7 interests of the Settlement Class.
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9 **Preliminary Approval of the Proposed Settlement**

10 (5) Upon preliminary review, the Court finds the proposed Settlement is fair,
11 reasonable, and adequate, otherwise meets the criteria for approval, and warrants issuance of notice
12 to the Settlement Class. Accordingly, the proposed Settlement is preliminarily approved.
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14 **Final Approval Hearing**

15 (6) A Final Approval Hearing shall take place before the Court on November 8, 2024,
16 at 10 a.m./~~p.m.~~ in Courtroom 1 – 17th Floor, San Francisco Courthouse, 450 Golden Gate Avenue,
17 San Francisco, CA 94102, to determine, among other things, whether: (a) the proposed Settlement
18 Class should be finally certified for settlement purposes pursuant to Federal Rule of Civil
19 Procedure 23; (b) the Settlement should be finally approved as fair, reasonable and adequate and,
20 in accordance with the Settlement’s terms, all claims in the Consolidated Complaint and Action
21 should be dismissed with prejudice; (c) Settlement Class Members should be bound by the releases
22 set forth in the Settlement; (d) the proposed Final Approval Order and Judgment should be entered;
23 (e) the application of Class Counsel for an award of attorney’s fees, costs, and expenses should be
24 approved; and (f) the application for Service Awards should be approved. Any other matters the
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1 Court deems necessary and appropriate will also be addressed at the hearing. The hearing may be
2 re-scheduled without further notice to the class.

3 (7) Lead Class Counsel shall submit his application for fees, costs, and expenses and
4 the application for Service Awards no later than 35 days before the Objection Deadline.

5 (8) Any Settlement Class Member that has not timely and properly excluded itself from
6 the Settlement in the manner described below, may appear at the Final Approval Hearing in person
7 or by counsel and be heard, to the extent allowed by the Court, regarding the proposed Settlement;
8 provided, however, that no Settlement Class Member that has elected to exclude themselves from the
9 Settlement shall be entitled to object or otherwise appear, and, further provided, that no Settlement
10 Class Member shall be heard in opposition to the Settlement unless the Settlement Class Member
11 complies with the requirements of this Order pertaining to objections, which are described below.
12

13 **Administration**

14 (9) KCC Class Action Services LLC is appointed as the Settlement Administrator, with
15 responsibility for reviewing, determining the validity of, and processing all claims submitted by
16 Settlement Class Member, and all other obligations of the Settlement Administrator as set forth in
17 the Settlement. All Administration and Notice Costs incurred by the Settlement Administrator
18 will be paid out of the Settlement Fund, as provided in the Settlement.
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20 **Notice to the Class**

21 (10) The Notice Plan, along with the Short Notice, Long Notice, and Claim Form
22 attached to the Settlement as Exhibits A, C, D, F satisfy the requirements of Federal Rule of Civil
23 Procedure 23 and due process and thus are approved. Non-material modifications to these exhibits
24 may be made without further order of the Court. The Settlement Administrator is directed to carry
25 out the Notice Plan and to perform all other tasks that the Settlement requires.
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1 (11) The Court finds that the form, content, and method of giving notice to the
2 Settlement Class as described in the Notice Plan, Short Notice, Long Notice, and Claim Form:
3 (a) constitute the best practicable notice to the Settlement Class; (b) are reasonably calculated,
4 under the circumstances, to apprise Settlement Class Members of the pendency of the action, the
5 terms of the proposed Settlement, and their rights under the proposed Settlement; (c) are
6 reasonable and constitute due, adequate, and sufficient notice to those persons entitled to receive
7 notice; and (d) satisfy the requirements of Federal Rule of Civil Procedure 23, the constitutional
8 requirement of due process, and any other legal requirements. The Court further finds that the
9 notice is written in plain language, uses simple terminology, and is designed to be readily
10 understandable by Settlement Class Members.
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12 **Exclusions from the Class**

13 (12) Any individual that wishes to be excluded from the Settlement must mail a written
14 notification of such intent by United States mail to the designated address established by the
15 Settlement Administrator (or submit online via the settlement website), postmarked or submitted
16 no later than 60 days after the Notice Date (the “Opt-Out Deadline”). The written notification
17 must include the name of this Action (*In Re Orrick, Herrington & Sutcliffe LLP Data Breach*
18 *Litigation*, Case No. 3:23-cv-04089-SI (N.D. Cal.)), the full name and address of the individual
19 seeking exclusion from the Settlement; be personally signed by the individual seeking exclusion;
20 include a statement in the body of the document clearly indicating the individual’s intent to be
21 excluded from the Settlement; and request exclusion only for that one individual whose personal
22 signature appears on the request. Any individual who does not submit a valid and timely request
23 for exclusion in the manner described herein shall be bound by the Settlement, including all
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1 releases and covenants therein, as well as all subsequent proceedings, orders, and judgments
2 applicable to the Settlement Class.

3 (13) All individuals who submit valid and timely requests for exclusion from the
4 Settlement shall not: (i) be bound by any orders or judgments entered in connection with the
5 Settlement; (ii) be entitled to any relief under, or be affected by, the Settlement; (iii) gain any rights
6 by virtue of the Settlement; or (iv) be entitled to object to any aspect of the Settlement..
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8 (14) The Settlement Administrator shall provide the parties with copies of all requests
9 for exclusion promptly upon receipt, a weekly report which includes a summary of the number of
10 requests for exclusion, and, within five (5) Business Days after the Opt-Out Deadline, a final list
11 of all that have timely and validly excluded themselves from the Settlement Class in accordance
12 with the terms of the Settlement and herein. Prior to the Final Approval Hearing, the Settlement
13 Administrator shall also prepare and execute a declaration identifying each individual who timely
14 and validly requested exclusion from the Settlement.
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16 **Objections to the Settlement**

17 (15) A Settlement Class Member that complies with the requirements of this Order may
18 object to the Settlement.

19 (16) No Settlement Class Member shall be heard, and no papers, briefs, pleadings, or
20 other documents submitted by any Settlement Class Member shall be received and considered by
21 the Court, unless a written objection is submitted to the Court on or before the Objection Deadline,
22 which shall be 60 days after the Notice Date. For the objection to be considered by the Court, the
23 written objection must include:
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- 25 a. the case name and number of the Action (*In Re Orrick, Herrington & Sutcliffe LLP*
26 *Data Breach Litigation*, Case No. 3:23-cv-04089-SI (N.D. Cal.));
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- 1 b. the name, address, and telephone number of the objecting Settlement Class Member
- 2 and, if represented by counsel, of his/her counsel;
- 3 c. a statement of whether the objection applies only to the objector, to a specific subset
- 4 of the class, or to the entire class;
- 5 d. a statement of the number of times in which the objector (and, where applicable,
- 6 objector's counsel) has objected to a class action settlement within the three years
- 7 preceding the date that the objector files the objection, along with the caption of
- 8 each case in which the objector has made such objection;
- 9 e. a statement of the specific grounds for the objection;
- 10 f. a statement identifying whether the objecting Settlement Class Member intends to
- 11 appear at the Final Approval Hearing, and if so, whether personally or through
- 12 counsel.
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15 (17) In addition to the foregoing requirements, if an objecting Settlement Class Member
16 intends to speak at the Final Approval Hearing (whether pro se or through an attorney), the written
17 objection must include a detailed description of any evidence the objecting Settlement Class
18 Member may offer at the Final Approval Hearing, as well as copies of any exhibits the objecting
19 Settlement Class Member may introduce at the Final Approval Hearing.

20 (18) A written notice of objection may be either electronically filed in the Action's
21 electronic docket on or before the Objection Deadline; sent via first class, postage-prepaid United
22 States Mail, postmarked no later than the Objection Deadline to the Class Action Clerk, United
23 States District Court for the Northern District of California, San Francisco Courthouse, 450 Golden
24 Gate Avenue, San Francisco, CA 94102; or in person at any location of the United States District
25 Court for the Northern District of California on or before the Objection Deadline.
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1 (19) Any Settlement Class Member who fails to object to the Settlement in the manner
2 described herein shall be deemed to have waived any such objection, shall not be permitted to
3 object to any terms or approval of the Settlement at the Final Approval Hearing, and shall be
4 precluded from seeking any review of the Settlement or the terms of this Agreement by appeal or
5 any other means.

6 **Claims Process and Distribution Plan**

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8 (20) The Settlement establishes a process for assessing and determining the validity and
9 value of claims and a methodology for paying Settlement Class Members that submit a timely,
10 valid Claim Form. The Court preliminarily approves this process.

11 (21) Settlement Class Members that qualify for and wish to submit a Claim Form shall
12 do so in accordance with the requirements and procedures specified in the Settlement, including
13 the Claim Form. If the Settlement is finally approved, all Settlement Class Members that qualify
14 for any benefit under the Settlement, but who fail to submit a claim in accordance with the
15 requirements and procedures specified in the Settlement, including the Claim Form, shall be
16 forever barred from receiving any such benefit. Such Class Members, however, will in all other
17 respects be subject to and bound by the provisions of the Settlement, including the releases
18 included in the Settlement, and the Final Approval Order and Judgment.

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20 **Termination of the Settlement and Use of this Order**

21 (22) This Order shall become null and void and shall be without prejudice to the rights
22 of the Parties, all of which shall be restored to their respective positions existing immediately
23 before this Court entered this Order, if the Settlement is not finally approved by the Court or is
24 terminated in accordance with the terms of the Settlement. In such event, the Settlement shall
25 become null and void and be of no further force and effect, and neither the Settlement (including
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1 any Settlement-related filings) nor the Court's orders, including this Order, relating to the
2 Settlement shall be used or referred to for any purpose whatsoever.

3 (23) If the Settlement is not finally approved or there is no Effective Date under the
4 terms of the Settlement, then this Order shall be of no force or effect; shall not be construed or
5 used as an admission, concession, or declaration by or against Orrick, Herrington & Sutcliffe LLP
6 of any fault, wrongdoing, breach, or liability; shall not be construed or used as an admission,
7 concession, or declaration by or against any Settlement Class Representative or any other
8 Settlement Class Member that his or her claims lack merit or that the relief requested is
9 inappropriate, improper, unavailable; and shall not constitute a waiver by any party of any defense
10 (including without limitation any defense to class certification) or claims he or she may have in
11 this Action or in any other lawsuit.

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13 **Stay of Proceedings**

14 (24) Except as necessary to effectuate this Order, this matter and any deadlines set by
15 the Court in this matter are stayed and suspended pending the Final Approval Hearing and issuance
16 of the Final Approval Order and Judgment, or until further order of this Court.

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18 **Continuance of Final Approval Hearing**

19 (25) The Court reserves the right to adjourn or continue the Final Approval Hearing and
20 related deadlines without further written notice to the Settlement Class. If the Court alters any of
21 those dates or times, the revised dates and times shall be posted on the website maintained by the
22 Settlement Administrator.

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24 **Actions By Settlement Class Members**

25 (26) The Court stays and enjoins, pending Final Approval of the Settlement, any actions,
26 lawsuits, or other proceedings brought by Settlement Class Members against Orrick, Herrington
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1 & Sutcliffe LLP related to the Data Breach.

2 **Summary of Deadlines**

3 (27) The Settlement, as preliminarily approved in this Order, shall be administered
4 according to its terms pending the Final Approval Hearing. Deadlines arising under the Settlement
5 and this Order include but are not limited to the following:

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ACTION	DEADLINE
Notice Date	July 30, 2024 (60 days after entry of this Preliminary Approval Order)
Motion for Attorneys' Fees and Expenses and Service Awards	August 26, 2024 (35 days prior to Objection Deadline)
Claims Deadline	October 28, 2024 (90 days after Notice Date (i.e., 150 days after entry of this Preliminary Approval Order))
Opt-Out/ Exclusion Deadline	September 30, 2024 (60 days after Notice Date (i.e., 120 days after entry of this Preliminary Approval Order))
Objection Deadline	September 30, 2024 (60 days after Notice Date (i.e., 120 days after entry of this Preliminary Approval Order))
Final Approval Brief and Response to Objections Due	October 4, 2024 (At least 35 days prior to Final Approval Hearing)
Final Approval Hearing	November 8, 2024 [No earlier than 90 days after Notice Date (i.e., 150 days after entry of this Preliminary Approval Order)] *The Final Approval Hearing date/time maybe changed without further notice to the class.

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1 IT IS SO ORDERED this 31st day of May, 2024.

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5 THE HONORABLE JUDGE SUSAN ILLSTON
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